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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/760,031	01/12/2001	Robert H. Halstead JR.	09612.1014-02000	1846
22852	7590	03/23/2006	EXAMINER	
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413			KANG, INSUN	
			ART UNIT	PAPER NUMBER
			2193	

DATE MAILED: 03/23/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/760,031

Applicant(s)

HALSTEAD ET AL.

Examiner

Insun Kang

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 December 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-24 and 26-30 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-24 and 26-30 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is in response to the RCE amendment filed 12/15/2005.
2. As per applicant's request, claim 25 has been cancelled and claims 26-30 have been added. Claims 1-24 and 26-30 are pending in the application.

Double Patenting

3. The applicant asked the Examiner to hold the rejection; therefore, the rejection under double patenting is maintained. See the previous action.

Claim Rejections - 35 USC § 101

4. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

5. Claims 1-24 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Claims 1-24 are non-statutory because they are directed to a programming syntax and can be performed in a paper. Thus the claims represent non-functional descriptive material that is not capable of producing a useful result, and hence represent only abstract ideas. Therefore, the claims are non-statutory.

Claim Rejections - 35 USC § 102

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6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

7. Claims 1, 11, 21, and 22 are rejected under 35 U.S.C. 102(b) as being anticipated by Linked list code examples from *Data Structures and other Objects Using C++* by Main and Savitch (1997) listed in the cs.appstate.edu website (Index of...examples; "Bag Implementation Using Linked Lists," 1998) hereinafter "Main."

As per claim 1, Main discloses defining an object with defined fields to support values in preallocated memory space and with an option data structure which supports references to option values preallocation of memory space for the full option values; accessing a field value stored in one of the defined fields and accessing an option value not stored in the defined fields in the object using expressions of the same syntactic form (i.e. see the Bag class).

As per claims 11 and 21, they are the system versions of claim 1, respectively, and are rejected for the same reasons set forth in connection with the rejection of claim 1 above.

As per claim 22, it is the product version of claim 1 respectively, and is rejected for the same reasons set forth in connection with the rejection of claim 1 above.

Claim Rejections - 35 USC § 103

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 1-8, 11-18, 21, 22-24, and 26-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over McLennan ("Object-Oriented Programming with [incr Tcl] Building Mega-Widgets with [incr Tk]," 1996) in view of Linked list code examples from *Data Structures and other Objects Using C++* by Main and Savitch (1997) listed in the cs.appstate.edu website (Index of...examples; "Bag Implementation Using Linked Lists," 1998) hereinafter "Main."

As per claim 1, McLennan discloses defining an object with defined fields to support values in preallocated memory space and with an option data structure which supports references to option values (i.e. page 87 lines 24-35). McLennan does not explicitly teach defining the option values without preallocation of memory space for the full option values. However, Main teaches such dynamic allocation was known in the pertinent art, at the time applicant's invention was made, to save memory space such as the Bag implementation with linked list disclosed in Main. It would have been obvious for one having ordinary skill in the art to modify McLennan's disclosed system to incorporate the teachings of Main. The modification would be obvious because one having ordinary skill in the art would be motivated to use dynamic memory that shrinks and grows as needed for efficient memory space usage as suggested by Main.

McLennan in view of Main further discloses accessing a field value stored in one of the defined fields and accessing an option value not stored in the defined fields in the object using expressions of the same syntactic form (i.e. page 87).

As per claim 2, McLennan discloses a method as claimed in claim 1 wherein the option data structure identifies change handler code that is executed when an option value changes (i.e. page 81 lines 1-3).

As per claim 3, the rejection of claim 2 is incorporated and McLennan further discloses a method as claimed in claim 2 wherein change handler code for one option is defined in different classes within a class inheritance hierarchy and the change handler code from each class is executed when the option value changes (i.e. page 81 lines 1-3).

As per claim 4, the rejection of claim 1 is incorporated and McLennan further discloses a method as claimed in claim 1 wherein the option data structure includes a type description of the option value, the method further comprising: during compilation, using the type description in the option data structure to process an operation on the option value (i.e. page 79 lines 3-9).

As per claim 5, the rejection of claim 1 is incorporated and McLennan further discloses a method as claimed in claim 1 wherein an option data structure includes a default value, the method further comprising, in a get operation to an instance of the class, if an option value which applies to the instance has been set, getting the set option value

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and, if no value which applies has been set, getting the default value for the class (i.e. page 79 lines 3-9).

As per claim 6, the rejection of claim 1 is incorporated and McLennan further discloses a method as claimed in claim 1 defining a first class with a first option data structure of a first form which supports, in instances of the class, references to option values without preallocation of memory space for the full option values; defining a second class with a second option data structure of a second form which supports, in instances of the second class, references to option values without preallocation of memory space for the full option values, the second form being different from the first form; and during compilation, encoding an option operation as a method call to an object of the first class and to an object of the second class without regard to the form of the option data structure supported by the class (i.e. page 79).

As per claim 7, McLennan discloses a method as claimed in claim 1 further comprising: notifying objects of a change in an option value through a change handler identified by an option binding, the option binding being located by first searching a mapping data structure for a previously computed mapping to the option binding and, if no mapping was previously computed, by then computing the mapping to the option binding and storing the mapping in the mapping data structure (i.e. page 81 lines 1-3).

As per claim 8, the rejection of claim 1 is incorporated and Main further discloses a method as claimed in claim 1 wherein the option data structure comprises a linked list of option items having option values (Bag implementation with Linked list).

As per claims 11-18, they are the system versions of claims 1-8, respectively, and are rejected for the same reasons set forth in connection with the rejection of claims 1-8 above.

As per claim 21, it is the data processing system version of claim 1 respectively, and is rejected for the same reasons set forth in connection with the rejection of claim 1 above.

As per claims 22-24, they are the product versions of claims 1, 7, and 8, respectively, and are rejected for the same reasons set forth in connection with the rejection of claims 1, 7, and 8 above.

As per claims 26-30, they are the method versions of claims 1-6 respectively, and are rejected for the same reasons set forth in connection with the rejection of claims 1-6 above.

10. Claims 9, 10, 19, and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over McLennan ("Object-Oriented Programming with [incr Tcl] Building Mega-Widgets with [incr Tk]," 1996), in view of Linked list code examples from *Data Structures and other Objects Using C++* by Main and Savitch (1997) listed in the cs.appstate.edu website (Index of...examples; "Bag Implementation Using Linked Lists," 1998), hereinafter "Main," and further in view of Hostetter et al ("Curl: A Gentle Slope Language for the Web," World Wide Web Journal, spring, 1997, art of record) hereinafter "Hostetter."

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As per claim 9, McLennan does not explicitly disclose a nonlocal option value applies to other objects in a nonlocal option hierarchy. However, Hostetter teaches a nonlocal option value applies to other objects in a nonlocal option hierarchy (see Section3, Page 4, Lines 1-2, "The screen shot above reflects the fact the user has selected something besides the default color (red) and quantity (0)."). Color is a nonlocal option because all text in a given document is usually the same color. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the teaching of Hostetter into the method of McLennan, to comprise a nonlocal option value that applies to other objects in a nonlocal option hierarchy. The modification would have been obvious because one of ordinary skill in the art would have been motivated to implement properties in a dynamically bound environment using a deep binding mechanism.

As per claim 10, McLennan does not explicitly disclose that the nonlocal option hierarchy is a graphical hierarchy. However, Hostetter teaches that the nonlocal option hierarchy is a graphical hierarchy (see Section3, Page 4, Lines 12, "The screen shot above reflects the fact the user has selected something besides the default color (red) and quantity (0);" Section 4.3, Page 9, Lines 34-35, "text. Properties control the color, size and font family as well as indicating whether the text should be bold or italic."). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the teaching of Hostetter into the method of McLennan. The modification would have been obvious because one of ordinary skill in the art would have been motivated to represent a graphic image as a hierarchical tree of

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Graphic objects (Leaves of the tree are primitive Graphic objects which know how to draw themselves, usually after looking up the values of various properties).

Response to Arguments

11. Applicant's arguments with respect to claims 1-24 and 26-30 have been considered but are moot in view of the new ground(s) of rejection.

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Insun Kang whose telephone number is 571-272-3724. The examiner can normally be reached on M-F 7:30-4 PM.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kakali Chaki can be reached on 571-272-3719. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Any inquiry of a general nature or relating to the status of this application should be directed to the TC 2100 Group receptionist: 571-272-2100.

I. Kang
Examiner




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SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100